Combinatorial Interaction Testing (CIT)

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Outline

Part I
State of the Art in CIT

Part II
Making CIT More Practical

Part III
Other Research Topics
PART I

State of the Art in CIT
A Motivating Example: MySQL

• MySQL characteristics
  – Database management system
  – Large user community – 6M+
  – Large code base – ≈1M
  – Geographically distributed developers
  – Continuous evolution – 200+ commits per month

• A highly configurable system
  – 100+ configuration options
  – Dozens of OS, compiler, and platform combinations
A Motivating Example: MySQL

• 100+ configuration options
• Assuming each option has two levels of settings
  – $2^{100+}$ configurations for testing
• Assuming each config. takes 1 second to test
  – $2^{100+}$ secs. $\approx 10^{20+}$ centuries for exhaustive testing
  – Big Bang is estimated to be about $10^7$ centuries ago!
• Exhaustive testing is impossible!

Which configurations should be tested?
Configuration Space Model

• In its simplest form, the model includes
  – A set of configuration options \( O = \{ o_1, o_2, \ldots, o_n \} \)
  – Their possible settings \( V = \{ V_1, V_2, \ldots, V_k \} \)
• Implicitly defines the configuration space

<table>
<thead>
<tr>
<th>Options</th>
</tr>
</thead>
<tbody>
<tr>
<td>o1: {0, 1, 2}</td>
</tr>
<tr>
<td>o2: {0, 1, 2}</td>
</tr>
<tr>
<td>o3: {0, 1, 2}</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Constraints</th>
</tr>
</thead>
<tbody>
<tr>
<td># none, for now!</td>
</tr>
</tbody>
</table>
t-way Covering Arrays

- Given a model, a t-way covering array is a set of configurations, in which each possible combination of option settings for every combination of \( t \) options appears at least once
- \( t \): coverage strength

<table>
<thead>
<tr>
<th>o1</th>
<th>o2</th>
<th>o3</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>0</td>
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<td>1</td>
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</tbody>
</table>

A 2-way covering array
Basic Justification

*t-way covering arrays can efficiently exercise all system behaviors caused by the settings of* $t$ *or fewer options*
# Example Covering Array Sizes

<table>
<thead>
<tr>
<th>coverage strength</th>
<th># of binary options</th>
<th>covering array size</th>
</tr>
</thead>
<tbody>
<tr>
<td>( t )</td>
<td>( n )</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>12</td>
<td>15</td>
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<td>3</td>
<td>26</td>
<td>22</td>
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<tr>
<td>3</td>
<td>68</td>
<td>31</td>
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<tr>
<td>3</td>
<td>256</td>
<td>46</td>
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<tr>
<td>4</td>
<td>12</td>
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<td>4</td>
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<td>67</td>
<td>67</td>
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<tr>
<td>4</td>
<td>256</td>
<td>160</td>
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</tbody>
</table>
Inter-Option Constraints

- Not all configurations may be valid in practice
- Inter-option constraints invalidate some option setting combinations
  - Hard constraints
  - Soft constraints [Bryce 06]

[Options]
\[ o_1, o_2, o_3: \{0, 1, 2\} \]

[Constraints]
\[ o_1 = 0 \implies (o_2 \neq 0 \land o_3 \neq 0) \]

<table>
<thead>
<tr>
<th>o1</th>
<th>o2</th>
<th>o3</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>1</td>
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<td>2</td>
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<tr>
<td>2</td>
<td>*</td>
<td>2</td>
</tr>
</tbody>
</table>

A 2-way covering array

“Don’t Care”
Example Uses of Inter-Option Constraints

• To avoid invalid configurations
  – Leads to better utilization of testing resources
    [Cohen 11]

• To avoid previously discovered failing sub-spaces
  – E.g., in adaptive testing scenarios
    [Dumlu and Yilmaz 11]

• To reduce actual cost of testing
  – E.g., in cost-aware covering arrays
    [Gulsen and Yilmaz 12]

• ...
Seeds

- A set of fully or partially specified configurations
- The t-way combinations included in the seed are considered to be already covered
- A new set of configurations are generated only to cover the rest of the t-way combinations

A fully specified seed

<table>
<thead>
<tr>
<th>o1</th>
<th>o2</th>
<th>o3</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
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<tr>
<td>2</td>
<td>2</td>
<td>1</td>
</tr>
</tbody>
</table>
Seeds

• A set of fully or partially specified configurations

• The t-way combinations included in the seed are considered to be already covered

• A new set of configurations are generated only to cover the rest of the t-way combinations
Example Uses of Seeds

• To guarantee the inclusion of certain configs.
  – E.g., to test widely-used configurations
• To better utilize testing resources
  – E.g., some configs. may have already been tested
    [Dumlu and Yilmaz 11]
• For incremental covering array construction
  – E.g., using a low strength covering array as a seed to compute a higher strength covering array
    [Fouche 07]
• ...

Nov. 7, 2014

ATSEN 2014, Cemal Yilmaz, cyilmaz@sabanciuniv.edu
Variable Strength Covering Arrays

- The order of interactions among configuration options may vary
- Variable strength covering arrays allow to vary strength $t$ across different groups of options
  [Cohen 03]
Example Uses of Variable Strength Covering Arrays

• To more thoroughly test
  – interactions among closely interrelated options
  – critical interactions
  – frequently faced interactions
  – recently modified interactions

• ...
Computing Covering Arrays

• Random search-based approaches
• Greedy approaches
  – [Sherwood 94, Cohen 97, Tung 00, Bryce 05, …]
• Metaheuristic search-based approaches
  – Tabu search [Nurmela 2004]
  – Simulated annealing [Cohen 2003]
  – Genetic algorithms [Ghazi 2003]
  – Colony algorithms [Shiba 2004]
• Mathematical approaches
  – [Sherwood 94, Williams 00, Kobayashi 02, …]
Applications to Software Testing

• Input parameter testing
  – [Mandal 85, ...]

• Configuration testing
  – [Williams 01, Yilmaz 04, ...]

• GUI testing
  – [Memon 05, ...]

• Testing of software product lines
  – [Cohen 06, Oster 10, Perrouin 10, ...]

• ...
PART II

Making CIT More Practical
Revisiting the Basic Justification

$t$-way covering arrays can efficiently exercise all system behaviors caused by the settings of $t$ or fewer options.

However, we hypothesize that in practice many such behaviors are not actually tested due to *masking effects*.
Masking Effects

- Prevent test cases from testing all the required option setting combinations present in configurations, which the test cases are normally expected to test.
- Perturb the program executions in ways that prevent some option-related behaviors from being tested.

Masking Effects in Action
- An Illustration -

<table>
<thead>
<tr>
<th>o1</th>
<th>o2</th>
<th>o3</th>
<th>o4</th>
<th>t1</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>F</td>
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<tr>
<td>1</td>
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<td>0</td>
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<td>0</td>
<td>P</td>
</tr>
</tbody>
</table>

- t1 failed when o1=1
- The highlighted 3-way combinations might not have been actually tested
- Since these combinations appear nowhere else in the covering array, they might not have been tested at all
- Fooling developers into thinking that they have tested certain combinations, when they in fact have not
Causes of Masking Effects

• System failures
  • Unaccounted test case-specific inter-option constraints
  • Unaccounted system-wide inter-option constraints
  • Unaccounted control dependencies
  • ...

Nov. 7, 2014
Test Case-Specific Constraints

• Traditional covering arrays assume that all test cases can run in all the selected configurations
• Our observations, however, say otherwise
• Test cases are likely to have some assumptions about the underlying configurations
• In a study conducted on MySQL and Apache, we observed that 337 of 738 MySQL test cases and 378 of 3789 Apache test cases had some test-case specific inter-option constraints
Test Case-Specific Constraints

• **Why do they exist?** Test cases of configurable systems often depend on some features that need to be explicitly configured into the system, such as
  – DAV feature of Apache
  – non-ANSI SQL feature of MySQL

• **How do they affect testing?** When a test case-specific constraint is not satisfied by a configuration, the test case refuses to run in the configuration
  – *i.e.*, *skips* the configuration
Test Case-Aware CIT

- Traditional covering arrays do not account for test case-specific constraints
- Thus, they suffer from masking effects
  - If a test case skips a configuration, none of the option setting combinations appearing in the configuration will be tested by that test case
- To overcome this issue, we introduced a novel combinatorial object, called a *test case-aware covering array*.

Cemal Yilmaz, “Test-case aware combinatorial interaction testing”
Example Scenario

• Configuration space model contains 4 binary options
  – \( o_1, o_2, o_3, o_4 \) : \{0, 1\}

• The system under test is to be tested with 3 test cases
  – \( t_1, t_2, \text{ and } t_3 \)

• There is no system-wide constraints

• However, \( t_1 \) and \( t_2 \) have test case-specific constraints
  – \( t_1 \) skips when \( o_1=1 \)
  – \( t_2 \) skips when \( o_1=0 \)

• Whereas, \( t_3 \) has no test case-specific constraints

• For each test case, all valid 3-way option setting combinations are required to be tested
A Traditional 3-way Covering Array

11% of all valid 3-way option setting combination-test case pairs were not tested at all!
# A 3-way Test Case-Aware Covering Array

## Traditional 3-way Covering Array

<table>
<thead>
<tr>
<th>o1</th>
<th>o2</th>
<th>o3</th>
<th>o4</th>
<th>tests</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
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<td>1</td>
<td>{t1}</td>
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<td>{t2, t3}</td>
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<td>0</td>
<td>{t2, t3}</td>
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</tbody>
</table>

## Test Case-Aware 3-way Covering Array

<table>
<thead>
<tr>
<th>o1</th>
<th>o2</th>
<th>o3</th>
<th>o4</th>
<th>tests</th>
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</thead>
<tbody>
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<td>{t1, t3}</td>
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</tbody>
</table>

## Configuration Model

- **options**: o1,o2,o3,o4: \{0,1\}
- **sys-wide constraints**: none
- **tests**: \{t1, t2, t3\}
- **test constraints**:
  - t1: o1 ≠ 1
  - t2: o1 ≠ 0
Test Case-Aware Covering Arrays

Test Case-Aware 3-way Covering Array

<table>
<thead>
<tr>
<th></th>
<th>o1</th>
<th>o2</th>
<th>o3</th>
<th>o4</th>
<th>tests</th>
<th></th>
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</tr>
</tbody>
</table>

- No configuration violates the system-wide constraints
- No test case is scheduled to be executed in a configuration that violates its test case-specific constraint
- For each test case, every valid t-way combination of option settings appears at least once in the set of configurations, in which the test case is scheduled to be executed
Computing Test Case-Aware Covering Arrays

- Identified a trade-off between the number of configurations and the number of test runs required by test case-aware covering arrays
- Introduced a number of algorithms to
  - “minimize” the number of test cases
  - “minimize” the number of configurations

Feedback Driven Adaptive Combinatorial Interaction Testing

- Traditional CIT is static
- However, testing is dynamic and inherently unpredictable
- Demonstrated that traditional covering arrays significantly suffer from masking effects caused by system failures during testing
- Developed a feedback driven adaptive CIT approach
- Defined a novel coverage criterion, called *tested t-way interaction coverage*, that gives every test case a fair chance to test all required option setting combinations
- At each iteration
  1. Detect likely masking effects
  2. Isolate their causes
  3. Schedule the set of t-way combinations being masked for testing in the subsequent iteration
- Terminate when a full coverage under the coverage criterion is obtained

PART III

Other Research Topics
Combining Hardware and Software Instrumentation

Pushing substantial parts of the data collection task onto hardware, so that internal program execution data can be collected at low costs for various types of data-driven program analyses.

Concluding Remarks

• CIT is an efficient and effective way of revealing option-related failures

• There is still room for improvement, especially for making CIT more practical
  – Developing novel combinatorial objects
  – Developing adaptive CIT processes
  – Applying it to different domains

Questions??